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PTO/SB/01 (12-97)
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| | | |
|---|--------------------------|----------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | PA-0111 |
| | First Named Inventor | Stubbs |
| | COMPLETE IF KNOWN | |
| | Application Number | To be assigned |
| | Filing Date | 28-Sep-2001 |
| | Group Art Unit | To be assigned |
| Examiner Name | To be assigned | |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Fluorescent Proteins

the specification of which (Title of the Invention)
☒ is attached hereto
OR
☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------------|----------------------------------|--------------------------|--------------------------|-------------------------------------|
| | | | | YES | NO |
| 0109858.1 | Great Britain | 04/23/2001 | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
| <input type="text"/> | <input type="text"/> |

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 22840 →

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OR
☐ Registered practitioner(s) name/registration number listed below

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
| | | | |


☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number 22840 or Bar Code Label OR ☐ Correspondence address below

| | | | | | |
|---------|--|-----------|--|-----|--|
| Name | | | | | |
| Address | | | | | |
| Address | | | | | |
| City | | State | | ZIP | |
| Country | | Telephone | | Fax | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

| | | | |
|--|---|------------------------|-------------|
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Simon Lawrence John | | Stubbs | |
| Inventor's Signature |  | | Date |
| Residence: City | State | Country | Citizenship |
| | | GB | GB |
| Post Office Address | Amersham Laboratories, White Lion Road, Amersham | | |
| Post Office Address | Buckinghamshire, Great Britain HP7 9LL | | |
| City | State | ZIP | Country |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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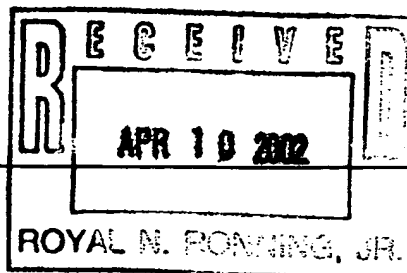
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| | | | | | |
|--|--|---|---------|-------------|-----------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | | | |
| Anne Elizabeth | | Jones | | | |
| Inventor's Signature | <i>Anne Jones</i> | | | Date | 26 Sep 01 |
| Residence: City | State | Country | GB | Citizenship | IE |
| Post Office Address | Amersham Laboratories, White Lion Road, Amersham | | | | |
| Post Office Address | Buckinghamshire, Great Britain HP7 9LL | | | | |
| City | State | ZIP | Country | | |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | | | |
| Nigel Paul | | Michael | | | |
| Inventor's Signature | <i>Nigel Michael</i> | | | Date | 26 Sep 01 |
| Residence: City | State | Country | GB | Citizenship | GB |
| Post Office Address | Amersham Laboratories, White Lion Road, Amersham | | | | |
| Post Office Address | Buckinghamshire, Great Britain HP7 9LL | | | | |
| City | State | ZIP | Country | | |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | | | |
| Nicholas | | Thomas | | | |
| Inventor's Signature | <i>Nicholas Thomas</i> | | | Date | 26 Sep 01 |
| Residence: City | State | Country | GB | Citizenship | GB |
| Post Office Address | Amersham Laboratories, White Lion Road, Amersham | | | | |
| Post Office Address | Buckinghamshire, Great Britain HP7 9LL | | | | |
| City | State | ZIP | Country | | |

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PA-0111

APRIL 04, 2002

PTAS

AMERSHAM BIOSCIENCES
ROYAL N. RONNING, JR.
800 CENTENNIAL AVENUE
PISCATAWAY, NJ 08855

Chief Information Officer
Washington, DC 20231
www.uspto.gov



101978053A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/23/2002

REEL/FRAME: 012546/0410
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STUBBS, SIMON LAWRENCE JOHN

DOC DATE: 11/07/2001

ASSIGNOR:

JONES, ANNE ELIZABETH

DOC DATE: 11/07/2001

ASSIGNOR:

MICHAEL, NIGEL PAUL

DOC DATE: 11/07/2001

ASSIGNOR:

THOMAS, NICHOLAS

DOC DATE: 11/07/2001

ASSIGNEE:

AMERSHAM PLC
WHITE LION ROAD, AMERSHAM
BUCKINGHAMSHIRE, GREAT BRITAIN

HP7 9LL



SERIAL NUMBER: 09967301
PATENT NUMBER:

FILING DATE: 09/28/2001
ISSUE DATE:

012546/0410 PAGE 2

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-08-2002

Docket No.: PA-0111

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

101978053

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Simon Lawrence John Stubbs
 Anne Elizabeth Jones
 Nigel Paul Michael
 Nicholas Thomas

1.23.08

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Amersham plcInternal Address: Amersham LaboratoriesStreet Address: White Lion Road, AmershamBuckinghamshire, Great Britain HP7 9LL

City: _____ State: _____ ZIP: _____

Execution Date: November 7, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/967,301

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Royal N. Ronning, Jr.Internal Address: Amersham BiosciencesStreet Address: 800 Centennial Avenue

02/07/2002 DBYRNE 00000193 500588 09967301

01 FC:581 40.00 CH
City: PiscatawayState: NJ ZIP: 08855

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

500-588

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Royal N. Ronning, Jr.

Name of Person Signing

Signature

November 21, 2001

Date

Total number of pages including cover sheet, attachments, and document:

5


ASSIGNMENT

For valuable consideration, we, **Simon Lawrence John Stubbs, Anne Elizabeth Jones, Nigel Paul Michael, and Nicholas Thomas** hereby assign to **Amersham plc** having a place of business at **Amersham Laboratories, White Lion Road, Amersham, Buckinghamshire, HP7 9LL, Great Britain** and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the invention and improvements which are the subject of an application for United States Patent filed on **September 28, 2001**, U.S. Serial No. **09/967,301**, and related applications and patents, entitled "**Fluorescent Proteins**", this assignment including said application, any and all United States and foreign patents granted for any of said inventions or improvements, including all divisions, continuations, reissues, continuations-in-part and extensions thereof, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents and the like rights of exclusion and for inventor's certificates for said inventions and improvements; and we agree for ourselves and our heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment. Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to the Assignee, as the Assignee of the entire right, title and interest in and to the same, for the Assignee's sole use and behoof and for the use and behoof of the Assignee's legal representatives, successors and assigns, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by the Assignor had this assignment and sale not been made.

Name: Simon Lawrence John Stubbs

Address: Amersham Laboratories, White Lion Road, Buckinghamshire
Amersham, Great Britain HP7 9LL

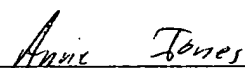
Executed this 7th day of November, 2001


(Signature)

Name: Anne Elizabeth Jones

Address: Amersham Laboratories, White Lion Road, Buckinghamshire
Amersham, Great Britain HP7 9LL


Executed this 7th day of November, 2001


(Signature)

Name: Nigel Paul Michael

Address: Amersham Laboratories, White Lion Road, Buckinghamshire
Amersham, Great Britain HP7 9LL

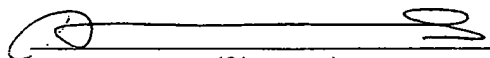
Executed this 12th day of NOVEMBER, 2001


(Signature)

Name: Nicholas Thomas

Address: Amersham Laboratories, White Lion Road, Buckinghamshire
Amersham, Great Britain HP7 9LL

Executed this seventh day of November, 2001


(Signature)